

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

2166 2766  
Docket No. #6  
CCF-001PAT 11-12-02 JM

In Re Application Of:

Neil R. Fraser

Serial No.  
09/590,329

OTPE 1616  
NOV 04 2002  
PATENT & TRADEMARK OFFICE  
Filing Date  
June 8, 2000

Examiner

Group Art Unit  
2766

Title: METHOD AND SYSTEM FOR SECURELY DISPLAYING AND CONFIRMING REQUEST TO PERFORM  
OPERATION ON HOST COMPUTER

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Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

Technology Center 2100

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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GROUP 3600

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
CCF-001PAT

In Re Application:

Neil R. Fraser

Serial No.

09/590,329

Filing Date

June 8, 2000

Examiner

Group Art Unit

2766

**METHOD AND SYSTEM FOR SECURELY DISPLAYING AND CONFIRMING REQUEST TO  
OPERATION ON HOST COMPUTER**

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Technology Center 2600

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

\_\_\_\_\_  
(Date)

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Signature

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Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited November 1, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Eric W. Gutttag*

\_\_\_\_\_  
Signature of Person Mailing Correspondence

Eric W. Gutttag

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

*Eric W. Gutttag*

\_\_\_\_\_  
Signature

Dated: November 1, 2002

Eric W. Gutttag  
Attorney for Applicant  
Registration No. 28,853  
(513)-229-0383  
Customer No. 26868

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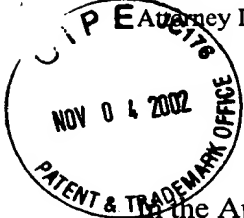
NOV 07 2002

**GROUP 3600**

cc:

Serial No. 09/590,329

Agency Docket No. CCF-001PAT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of

Neil R. Fraser

Serial No.: 09/590,329

Filed: June 8, 2000

METHOD AND SYSTEM FOR SECURELY :

DISPLAYING AND CONFIRMING :

REQUEST TO PERFORM OPERATION ON :

HOST COMPUTER :

:

:

: Group: 2766

: Examiner

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO  
37 CFR 1.56, 1.97 AND 1.98 (WITHIN 3 MONTHS OF FILING OR PRIOR TO FIRST  
OFFICE ACTION)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 CFR 1.56, 1.97 and 1.98, Applicant requests the Examiner to make of record the documents listed on the attached PTO-1449 forms. Copies of the listed documents not previously cited in the prior Information Disclosure Statement are also enclosed. In accordance with MPEP 609, the Examiner is requested to initial each document listed on the attached PTO-1449 forms in the space provided, make a copy of the initialed forms, and return an initialed copy to Applicant's attorney. This paper and the enclosed documents are being submitted in accordance with 37 CFR 1.97(b), i.e., within three (3) months of the filing dated of the above-identified application or prior to a first Office Action on the merits.

The documents listed on the attached PTO-1449 form for which copies are now provided came to Applicant's attorney's attention as a result of an International Search Report (copy enclosed) for the PCT application corresponding to the above application. U.S. Patent 6,430,407 B1 listed on the attached PTO-1449 form is indicated in this Search report as being in the same patent family as PCT Document 99/44114 that is also listed on the attached PTO-1449 form.

Serial No. 09/590,329  
Attorney Docket No. CCF-001PAT



Respectfully submitted,  
For: Neil R. Fraser

By *Eric W. Guttag*  
Eric W. Guttag  
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November 1, 2002  
Mason, Ohio

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**Technology Center 2600**

**CERTIFICATE OF MAILING PURSUANT TO 37 CFR 1.8**

I hereby certify that this correspondence is being deposited on November 1, 2002 with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Eric W. Guttag*  
Eric W. Guttag  
Registration No. 28,853

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